

MOTION:

**March 2, 2021
Regular Meeting
Res. No. 21-**

SECOND:

RE: AUTHORIZE EXECUTION OF AN AGREEMENT WITH THE TOWN OF DUMFRIES FOR ADMINISTRATION OF THE ROUTE 1 (FRALEY BLVD) WIDENING PROJECT; AUTHORIZE EXECUTION OF A STANDARD PROJECT AGREEMENT BETWEEN PRINCE WILLIAM COUNTY AND THE NORTHERN VIRGINIA TRANSPORTATION AUTHORITY FOR FUNDING OF THE ROUTE 1 (FRALEY BLVD) WIDENING PROJECT (NORTHERN VIRGINIA TRANSPORTATION AUTHORITY PROJECT #2015-359-80491); AND BUDGET AND APPROPRIATE UP TO \$4,020,492.33 TO THE ROUTE 1 (FRALEY BLVD) WIDENING PROJECT - POTOMAC MAGISTERIAL DISTRICT

ACTION:

WHEREAS, the Route 1 (Fralely Blvd) Widening Project (Project) will convert Route 1 through the Town of Dumfries to a six-lane facility with pedestrian and bike facilities on the current Northbound alignment and convert the current Southbound alignment into a two-way roadway for local traffic; and

WHEREAS, the Town of Dumfries Town Council on January 19, 2021, unanimously supported entering into an agreement with Prince William County for the County to assume the role of project administrator of the Project; and

WHEREAS, this Project is consistent with the County Comprehensive Plan and will improve mobility for Prince William residents; and

WHEREAS, the County desires to assume administration of the Project through execution of an agreement with the Town of Dumfries; and

WHEREAS, the County desires to receive HB 2313 70% revenue funds allocated to the Project by the Northern Virginia Transportation Authority (NVTA) through execution of a Standard Project Agreement; and

WHEREAS, the County desires to move forward with the preparation of the bridging document of the project for advertisement of the Project through budget and appropriation of the remaining Fiscal Year (FY) 2015 NVTA HB 2313 70% revenues allocated to the project;

NOW, THEREFORE, BE IT RESOLVED that the Prince William Board of County Supervisors hereby approves the attached agreement with the Town of Dumfries for administration of the Route 1 (Fralely Blvd) Widening Project; approve the attached Standard Project Agreement between Prince William County and the Northern Virginia Transportation Authority for Funding of the Route 1 (Fralely Blvd) Widening Project (Northern Virginia Transportation Authority Project #2015-359-80491); and budget and appropriate up to \$4,020,492.33 to the Route 1 (Fralely Blvd) Widening Project in the Potomac Magisterial District;

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BE IT FURTHER RESOLVED that the Prince William Board of County Supervisors hereby authorizes the Director of Transportation to sign the attached agreement with the Town of Dumfries and the attached Standard Project Agreement on behalf of the Board.

ATTACHMENTS: Memorandum of Understanding for Project Administration Between Town of Dumfries and the Prince William Board of County Supervisors for the Widen US Route 1 (Fraley Blvd) Bradley's Hill Rd to RT234 (Dumfries Rd) Project
Standard Project Agreement for Funding and Administration Between Northern Virginia Transportation Authority and the Prince William Board of County Supervisors for the Widen US Route 1 (Fraley Blvd) Bradley's Hill Rd to RT234 (Dumfries Rd) Project

Votes:

Ayes:

Nays:

Absent from Vote:

Absent from Meeting:

For Information:

Director of Transportation

ATTEST: _____
Clerk to the Board

AGREEMENT
between the
BOARD OF COUNTY SUPERVISORS OF PRINCE WILLIAM COUNTY
and the
TOWN COUNCIL OF THE TOWN OF DUMFRIES
for the
ADMINISTRATION OF THE ROUTE 1 WIDENING PROJECT IN
THE TOWN OF DUMFRIES

THIS AGREEMENT (“Agreement”) made and entered into as of the Second day of March, 2021, by and between the BOARD OF COUNTY SUPERVISORS OF PRINCE WILLIAM COUNTY, VIRGINIA (“COUNTY”), and the TOWN OF DUMFRIES, VIRGINIA (“TOWN”).

W I T N E S S E T H:

WHEREAS, the TOWN has been approved for reimbursement by Northern Virginia Transportation Authority (“NVTA”) funds in the total amount of \$129,760,000 for the design, right-of-way acquisition, and construction to widen Fraley Boulevard (“Route 1”) to a six-lane road from Bradys Hill Road to Dumfries Road (“Project”); and

WHEREAS, the TOWN has already entered into a Standard Project Agreement with NVTA dated October 19, 2015, for the design of the Project (“Design SPA”) and was preparing to enter into a second Standard Project Agreement with NVTA for right of way acquisition and construction of the Project (“ROW and Construction SPA”); and

WHEREAS, through a prior agreement between the TOWN and the Virginia Department of Transportation (“VDOT”) the design of the Project was initiated by VDOT and plans were advanced to a design public hearing level and a design public hearing was held on October 18, 2018; and

WHEREAS, a National Environmental Policy Act (“NEPA”) document for the Project was completed and approved by the Federal Highway Administration and made available during the public hearing for review; and

WHEREAS, the TOWN has now decided to continue the development of the plans and the administration of the Project with the COUNTY for the completion of the public hearing design plans, construction of the Project, and final acceptance by VDOT for the maintenance of the road; and

WHEREAS, based on current plans, right-of-way and easements will need to be acquired from over 60 parcels within the Town of Dumfries to complete the Project; and

WHEREAS, it is the intent of the parties that upon the execution of this Agreement, the parties will cooperate to have the Design SPA and the ROW and Construction SPA assigned, replaced or otherwise transferred from the TOWN to the COUNTY so that the COUNTY will have the ability to draw on the regional 70% NVTA funding for the Project in the total amount of \$126,880,492.33 for Project administration; and

WHEREAS, the COUNTY and TOWN desire to enter into this Agreement for the COUNTY to administer the Project from completion of design plans and acquisition of rights-of-way and easements, through construction to completion in accordance with all applicable federal, state or other standards;

NOW, THEREFORE, in consideration of the foregoing and the mutual covenants contained herein, and other good and valuable consideration, the sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

I. RESPONSIBILITIES OF THE COUNTY

To the extent funds are made available by the TOWN through NVTA or other sources:

- 1.1 The COUNTY shall enter into, accept an assignment of, or otherwise take over responsibility for the Design SPA and the ROW and Construction SPA to assume design and construction responsibility for the Project.
- 1.2 The COUNTY shall administer the completion of the public hearing design plans for the Project to final approval by VDOT, the COUNTY and the TOWN.
- 1.3 The COUNTY shall coordinate with the TOWN through all phases of development, construction and inspection of the Project.
- 1.4 The COUNTY will engage engineering consultants for the completion of the design, the acquisition of right-of-way, and the inspection and monitoring during construction.
- 1.5 The COUNTY will perform all activities necessary for right-of-way acquisition, including appraisal preparation, review, extension of a bona fide offers in accordance with Va. Code § 25.1-204, negotiations for settlements, court recordation of documents, and any condemnation litigation as set forth in 1.6 below.
- 1.6 The COUNTY also will, when necessary, exercise its eminent domain authority to acquire right-of-way and easements in accordance with COUNTY policy and practice as authorized by relevant provisions of Virginia law, including Va. Code §§ 15.2-1800, and 15.2-1901 *et seq.* This process shall include public hearings under Va. Code § 15.2-1905(C) to authorize “quick take” of property in accordance with the procedures set forth in Va. Code §§ 25.1-300 *et seq.*.

- 1.7 The COUNTY will invoice NVTA directly for Project's costs incurred by the COUNTY and any contractors, and will provide copies of all invoices to the TOWN for documentation and information, as well as a statement with each invoice of Project funds remaining after payment of the invoice.
- 1.8 The COUNTY will invoice the TOWN for all administration expenses not reimbursable by NVTA or other outside funding sources, for reimbursement by the TOWN within thirty (30) days of invoicing.
- 1.9 The COUNTY will coordinate with the TOWN the approval of the plans and the permitting for construction.
- 1.10 The COUNTY will coordinate with VDOT on the design, construction and inspection of all stormwater facilities per VDOT specifications.
- 1.11 The COUNTY will release all stormwater facilities over to VDOT at the completion of the Project for all future maintenance and repair.

II. RESPONSIBILITIES OF TOWN

- 2.1 The TOWN shall take whatever steps are necessary to have the Design SPA and the ROW and Construction SPA put in the COUNTY's name so that the COUNTY, and not the TOWN, can draw on regional 70% NVTA funds to complete the Project.
- 2.2 The TOWN will cooperate with the COUNTY to obtain any funds available for the Project from any outside funding sources. Such cooperation shall include, without limitation, designating the Project as a revenue sharing project.
- 2.3 The TOWN will be responsible for all Project costs above those that are funded by NVTA or other outside funding sources provided for the Project. Within thirty (30) days of receiving a COUNTY invoice, the TOWN will reimburse the COUNTY for its administration expenses not reimbursable by NVTA or other funding sources, which TOWN reimbursement may come from the Town's local 30% NVTA funds held by the County.
- 2.4 The TOWN acknowledges that the COUNTY may need to exercise the County's power of eminent domain under Va. Code Titles 15.2 and 25.1., to acquire right-of-way and easements for the Project and the TOWN will cooperate in the conduct of any eminent domain proceedings undertaken by the County for the Project.
- 2.5 The TOWN will review and approve the Project's final plans and the issuance of a construction permit for its construction as recommended by the TOWN Director of Public Works.

III. MISCELLANEOUS

- 3.1 This Agreement, when executed, shall be binding upon all parties.
- 3.2 This Agreement may be modified in writing by mutual agreement of all parties.
- 3.3 Notice required to be provided under this Agreement shall be effective if given by certified mail, return receipt requested, to the following designated contacts at their current address:

TOWN OF DUMFRIES

DIRECTOR OF TRANSPORTATION
PRINCE WILLIAM COUNTY
5 COUNTY COMPLEX COURT, SUITE 290
PRINCE WILLIAM, VA 22192

IN WITNESS WHEREOF, each party has caused this agreement to be executed in duplicate in its name and on its behalf by its duly authorized officer as of the day and year first written.

In the presence of:

**TOWN COUNCIL OF
TOWN OF DUMFRIES, VIRGINIA**

TOWN OF DUMFRIES,
VIRGINIA

BY: _____
Name
Title

Approved as to form:

BY: _____
Sharon E. Pandak
Interim Town Attorney

**BOARD OF COUNTY SUPERVISORS OF
PRINCE WILLIAM COUNTY, VIRGINIA**

BY: _____
Ricardo Canizales
Director of Transportation

Approved as to form:

BY: _____
County Attorney

**Standard Project Agreement for Funding and Administration
between
Northern Virginia Transportation Authority
and**

(Recipient Entity)

Project Name: _____

NVTA Project Number: 359-80491

This Standard Project Agreement for Funding and Administration (“this Agreement”) is made and executed in duplicate on this ____ day of _____, 20__, as between the Northern Virginia Transportation Authority (“NVTA”) and _____ (“Recipient Entity”).

WITNESSETH

WHEREAS, NVTA is a political subdivision of the Commonwealth of Virginia created by the Northern Virginia Transportation Authority Act (“the NVTA Act”), Chapter 25 of Title 33.2 of the Code of Virginia, as amended;

WHEREAS, Section 33.2-2500(4) of the Code of Virginia authorizes NVTA to enter into project agreements with certain statutorily designated entities for the provision of transportation facilities and services to the area embraced by NVTA;

WHEREAS, Section 33.2-2509 of the Code of Virginia authorizes NVTA to use funds from a fund established pursuant to that Code section (the “NVTA Fund”) in order to assist in the financing, in whole or in part, of certain regional transportation projects in accordance with Code Section 33.2-2510;

WHEREAS, the NVTA Fund provides for the deposit therein of certain dedicated revenues and other funds appropriated by the Virginia General Assembly;

WHEREAS, Section 33.2-2510 of the Code of Virginia authorizes the use of funds from the NVTA Fund and the use of proceeds from NVTA debt issuances (“NVTA Bond Proceeds”) to be used by NVTA solely for transportation purposes benefitting those counties and cities embraced by NVTA;

WHEREAS, the Project set forth and described on Appendix A to this Agreement (“the Project”) satisfies the requirements of Virginia Code Section 33.2-2510;

WHEREAS, the Project is to be financed, as described in Appendix B, in whole or in part, by funds from the NVTA Fund and/or from NVTA Bond Proceeds, is located within a locality embraced by NVTA's geographical borders, or is located in an adjacent locality, but only to the extent that any such extension is an insubstantial part of the Project and is essential to the viability of the Project within the localities embraced by NVTA;

WHEREAS, _____ formally requested that NVTA provide funding to the Project by timely submitting an application for NVTA funding in response to NVTA's call for projects;

WHEREAS, NVTA has reviewed _____'s application for funding and has approved _____'s administration and performance of the Project's described scope of work;

WHEREAS, based on the information provided by _____, NVTA has determined that the Project complies with all requirements of the NVTA Act related to the use of moneys identified in Virginia Code Sections 33.2-2510(A),(C)1 and all other applicable legal requirements;

WHEREAS, the funds to be provided by NVTA described in Appendix B have been duly authorized and directed by _____ to finance the Project;

WHEREAS, NVTA agrees that _____ will design and/or construct the Project or perform such other specific work for the Project and _____ agrees that it will perform such work on the terms and conditions set forth in this Agreement and the Appendices appended thereto;

WHEREAS, both parties have concurred in the _____'s administration, performance, and completion of the Project on the terms and conditions set forth in this Agreement and its Appendices and in accordance with all applicable federal, state, and local laws and regulations; and

WHEREAS, NVTA's governing body and _____'s governing body have each authorized that their respective designee(s) execute this agreement on their respective behalf(s) as evinced by copies of each such entity's clerk's minutes which are appended hereto as Appendix E;.

NOW THEREFORE, in consideration of the promises made mutual covenants, and agreements contained herein, the parties hereto agree as follows:

A. Recipient Entity's Obligations

_____ shall:

1. Complete or perform all said work as described in Appendix A, advancing such work diligently and ensuring that all work is completed in accordance with all applicable federal, state, and local laws and regulations, and all terms and conditions of this Agreement.
2. Ensure that all work performed or to be performed under this Agreement is in accordance with the Project Description Sheets attached to Appendix A and complies with Va. Code Ann. Sections 33.2-2510(A), (C)1.
3. Perform or have performed, and remit all payment requisitions and other requests for funding for design and engineering, including all environmental work, right-of-way acquisition, construction, contract administration, testing services, inspection services, or capital asset acquisitions for the Project, as is required by this Agreement and that may be necessary for completion of the Project.
4. Not use the NVTA funds specified on Appendix B to pay any Project cost if the NVTA Act does not permit such Project cost to be paid with NVTA funds.
5. Recognize that, if the Project contains "multiple phases" (as such "multiple phases" are defined for the Project on Appendix A), for which NVTA will provide funding for such multiple phases (as set forth on Appendix B), NVTA may not provide funding to _____ to advance the Project to the next phase until the current phase is completed. In any circumstance where _____ seeks to advance a Project to the next phase using NVTA funds, _____ shall submit a written request to NVTA's Executive Director explaining the need for NVTA's funding of an advanced phase. NVTA's Executive Director will thereafter review the circumstances underlying the request in conjunction with Appendix B and NVTA's current and projected cash flow position and make a recommendation to NVTA whether to authorize the requested advance phase funding. Nothing herein, however, shall prohibit _____ from providing its own funds to

advance a future phase of the Project and from requesting reimbursement from NVTA for having advance funded a future phase of the Project. However, _____ further recognizes that NVTA's reimbursement to _____ for having advance funded a Project phase will be dependent upon NVTA's cash flow position at the time such a request for reimbursement is submitted and to the extent that any such advanced funding is consistent with Appendix B.

6. Acknowledge that NVTA's Executive Director will periodically update NVTA's project cash flow estimates with the objective toward keeping those estimates accurate throughout the life of the Project. _____ shall provide all information required by NVTA so as to ensure and facilitate accurate cash flow estimates and accurate updates to those cash flow estimates throughout the life of the Project as described in Appendix B.
7. Provide to NVTA requests for payment consistent with Appendix B and the most recently approved NVTA cash flow estimates that include NVTA's standard payment requisition(s), containing detailed summaries of actual project costs incurred with supporting documentation as determined by NVTA and that certify all such costs were incurred in the performance of work for the Project as authorized by this Agreement. Each payment requisition shall be in substantially the same form as set forth in Appendix C of this Agreement. If approved by NVTA, _____ can expect to receive payment within twenty (20) days upon receipt by NVTA. Approved payments may be made by means of electronic transfer of funds from NVTA to or for the account of _____.
8. Promptly notify NVTA's Executive Director of any additional project costs resulting from unanticipated circumstances and provide to NVTA detailed estimates of additional costs associated with those circumstances. _____ understands that it will be within NVTA's sole discretion whether to provide any additional funding to the Project in such circumstances and that NVTA will do so only in accordance with NVTA's approved Project Selection Process and upon formal action and approval by NVTA. _____ shall timely provide to NVTA a

complete and accurate update to Appendix B, if NVTA approves funding of any additional Project costs for the Project under this Paragraph.

9. Release or return any unexpended funds to NVTA no later than 90 days after final payment has been made to the contractors.
10. Review and acknowledge the requirements of NVTA Resolution No. 14-08 adopted January 23, 2014; to wit that, if applicable to _____'s Project: a) Prior to any NVTA funds being released for a project that may be part of a larger project, projects, or system undertaken with an extra-territorial funding partner, all such extra-territorial funding partners must commit to pay their appropriate, respective proportionate share or shares of the larger project or system cost commensurate with the benefits to each on a basis agreed upon by the NVTA member localities; b) any such funds released by NVTA for such project will be in addition to the funds that the NVTA member locality is to receive from or be credited with by the extra-territorial funding partner for the project or system; and c) there shall be no funding made available by NVTA until such time as all extra-territorial funding partners for such project or system pay or officially commit to fund their appropriate, respective proportionate shares of such large project or system commensurate with the benefits to each on a basis agreed upon with NVTA.
11. Should _____ be required to provide matching funds in order to proceed or complete the funding necessary for the Project, _____ shall certify to NVTA that all such matching funds have been either authorized and/or appropriated by _____s governing body or have been obtained through another, independent funding source;
12. Maintain complete and accurate financial records relative to the Project for all time periods as may be required by the Virginia Public Records Act and by all other applicable state or federal records retention laws or regulations, unless superseded by the laws that govern _____ and provide copies of any such financial records to NVTA, free of charge, upon request.

13. Maintain all original conceptual drawings and renderings, architectural and engineering plans, site plans, inspection records, testing records, and as built drawings for the Project for the time periods required by the Virginia Public Records Act and any other applicable records retention laws or regulations, unless superseded by the laws that govern _____; and provide to NVTA copies of all such drawings and plans free of charge, upon request.
14. Reimburse NVTA for all NVTA funds (with interest earned at the rate earned by NVTA) that _____ misapplied or used in contravention of Sections 33.2-2500 *et. seq.* of the Virginia Code (“the NVTA Act”) Chapter 766 of the 2013 Virginia Acts of Assembly (“Chapter 766”), or any term or condition of this Agreement.
15. Name NVTA and its Bond Trustee or require that all _____’s contractors name NVTA or its Bond Trustee as an additional insured on any insurance policy issued for the work to be performed by or on behalf of _____ for the Project and present NVTA with satisfactory evidence thereof before any work on the Project commences or continues.
16. Give notice to NVTA that _____ may use NVTA funds to pay outside legal counsel services (as opposed to utilizing the services of its own in-house counsel or NVTA’s in-house legal counsel) in connection with the work performed under this Agreement _____ so as to ensure that no conflict of interest may arise from any such representation.
17. Provide certification to NVTA, that upon final payment to all contractors for the Project, _____ will use the Project for its intended purposes for the duration of the Project’s useful life. Under no circumstances will NVTA be considered responsible or obligated to operate and/or maintain the Project after its completion.
18. Comply with all requirements of the Virginia Public Procurement Act and other applicable Virginia Code provisions, or local ordinances which govern the letting of public contracts, unless superseded by the laws that govern _____.

19. Acknowledge that if the Project is being funded in whole or in part by NVTA Bond Proceeds, comply with the tax covenants attached as Appendix D.
20. Acknowledge that if _____ expects and/or intends that the Project is to be submitted for acceptance by the Commonwealth into its system that _____ agrees to comply with the Virginia Department of Transportation's ("VDOT's") "Standards, Requirements and Guidance."
21. Recognize that _____ is solely responsible for obtaining all permits and permissions necessary to construct and/or operate the Project, including but not limited to, obtaining all required VDOT and local land use permits, applications for zoning approvals, and regulatory approvals.
22. Recognize that if _____ is funding the Project, in whole or in part, with federal and/or state funds, in addition to NVTA funds and/or NVTA Bond Proceeds that _____ will need to comply with all federal and Commonwealth funding requirements, including but not limited to, the completion and execution of VDOT's Standard Project Administration Agreement and acknowledges that NVTA will not be a party or signatory to that Agreement; nor will NVTA have any obligation to comply with the requirements of that Agreement.
23. Provide a certification to NVTA no later than 90 days after final payment to the contractors that _____ adhered to all applicable laws and regulations and all requirements of this Agreement.

B. NVTA's Obligations

NVTA shall:

- I. Provide to _____ the funding authorized by NVTA for design work, engineering, including all environmental work, all right-of-way acquisition, inspection services, testing services, construction, and/or capital asset acquisition(s) on a reimbursement basis as set forth in this Agreement and as specified in the Project Budget and Cash Flow contained in

Appendix B to this Agreement or the most updated amendment thereto, as approved by NVTA.

2. Assign a Program Coordinator for the Project. NVTA's Program Coordinator will be responsible for monitoring the Project on behalf of NVTA so as to ensure compliance with this Agreement and all NVTA's requirements and with overseeing, managing, reviewing, and processing, in consultation with NVTA's Executive Director and its Chief Financial Officer ("CFO") , all payment requisitions submitted by _____ for the Project. NVTA's Program Coordinator will have no independent authority to direct changes or make additions, modifications, or revisions to the Project Scope of Work as set forth on Appendix A or to the Project Budget and Cash Flow as set forth on Appendix B.

3. Route to NVTA's assigned Program Coordinator all _____'s payment requisitions, containing detailed summaries of actual Project costs incurred which are in substantially the same form as shown on Appendix C submitted to NVTA for the Project. After submission to NVTA, NVTA's Program Coordinator will conduct an initial review of all payment requisitions and supporting documentation for the Project in order to determine the submission's legal and documentary sufficiency. NVTA's Program Coordinator will then make a recommendation to the NVTA's CFO and Executive Director whether to authorize payment, refuse payment, or seek additional information from _____. If the payment requisition is sufficient as submitted, payment will be made within twenty (20) days from receipt. If the payment requisition is deemed insufficient, within twenty (20) days from receipt, NVTA's Program Coordinator will notify _____ in writing and set forth the reasons why the payment requisition was declined or why and what specific additional information is needed for processing the payment request. Payment will be withheld until all deficiencies identified by NVTA have been corrected. Under no circumstances will NVTA authorize payment for any work performed by or on behalf of _____ that is not in conformity with the requirements of the NVTA Act, Chapter 766, or this Agreement.

4. Route all _____'s supplemental requests for funding from NVTA under Paragraphs A.5 and A.8 of this Agreement to NVTA's Executive Director. NVTA's Executive Director will initially review those requests and all supporting documentation with NVTA's CFO. After such initial review, NVTA's Executive Director will make a recommendation to NVTA's Finance Committee for its independent consideration and review. NVTA's Finance Committee will thereafter make a recommendation on any such request to NVTA for final determination by NVTA.
5. Conduct periodic compliance reviews scheduled in advance for the Project so as to determine whether the work being performed remains within the scope of this Agreement, the NVTA Act, Chapter 766, and other applicable law. Such compliance reviews may entail review of _____'s financial records for the Project and on -site inspections.
6. Acknowledge that if, as a result of NVTA's review of any payment requisition or of any NVTA compliance review, NVTA staff determines that _____ has misused or misapplied any NVTA funds in derogation of this Agreement or in contravention of the NVTA Act, Chapter 766 or applicable law, NVTA staff will promptly advise NVTA's Executive Director and will advise _____'s designated representative in writing. _____ will thereafter have thirty (30) days to respond in writing to NVTA's initial findings. NVTA's staff will review _____'s response and make a recommendation to NVTA's Finance Committee. NVTA's Finance Committee will thereafter conduct its own review of all submissions and make a recommendation to NVTA. Pending final resolution of the matter, NVTA will withhold further funding on the Project. If NVTA makes a final determination that _____ has misused or misapplied funds in contravention of this Agreement, the NVTA Act, Chapter 766, or other applicable law, NVTA will cease further funding for the Project and will seek reimbursement from _____ of all funds previously remitted by NVTA (with interest earned at the rate earned by NVTA) which were misapplied or misused by _____. Nothing herein shall, however, be construed as denying, restricting or limiting the pursuit of either party's legal rights or available legal remedies.

7. Make guidelines available to _____ to assist the parties in carrying out the terms of this Agreement in accordance with applicable law.
8. Upon recipient's final payment to all contractors, retain copies of all contracts, financial records, design, construction, and as-built project drawings and plans for the Project for the time periods required by the Virginia Public Records Act and as may be required by other applicable records retention laws and regulations.
9. Be the sole determinant of the amount and source of NVTA funds to be provided and allocated to the Project and the amounts of any NVTA funds to be provided in excess of the amounts specified in Appendix B.

C. Term

1. This Agreement shall be effective upon adoption and execution by both parties.
2. _____ may terminate this Agreement, for cause, in the event of a material breach by NVTA of this Agreement. If so terminated, NVTA shall pay for all Project costs incurred through the date of termination and all reasonable costs incurred by _____ to terminate all Project related contracts. The Virginia General Assembly's failure to appropriate funds to NVTA as described in paragraph F of this Agreement or repeal of the legislation establishing the NVTA fund created pursuant to Chapter 766 shall not be considered material breaches of this Agreement by NVTA. Before initiating any proceedings to terminate under this Paragraph, _____ shall give NVTA sixty (60) days written notice of any claimed material breach of this Agreement; thereby allowing NVTA an opportunity to investigate and cure any such alleged breach.
3. NVTA may terminate this Agreement, for cause, resulting from _____'s material breach of this Agreement. If so terminated, _____ shall refund to NVTA all funds NVTA provided to _____ for the Project (including interest earned at the rate earned by NVTA). NVTA will provide _____ with sixty (60) days written notice that NVTA is exercising its rights to terminate this Agreement and the reasons for termination. Prior to termination, _____ may

request that NVTA excuse _____ from refunding all funds NVTA provided to _____ for the Project based upon _____'s substantial completion of the Project or severable portions thereof; and NVTA may, in its sole discretion, excuse _____ from refunding all or a portion of the funds NVTA provided to _____ for the Project. No such request to be excused from refunding will be allowed where _____ has either misused or misapplied NVTA funds in contravention of applicable law.

4. Upon termination and payment of all eligible expenses as set forth in Paragraph C.3 above, _____ will release or return to NVTA all unexpended NVTA funds with interest earned at the rate earned by NVTA no later than sixty (60) days after the date of termination.

D. Dispute

In the event of a dispute under this Agreement, the parties agree to meet and confer in order to ascertain if the dispute can be resolved informally without the need of a third party or judicial intervention. NVTA's Executive Director and _____'s Chief Executive Officer or Chief Administrative Officer shall be authorized to conduct negotiations on behalf of their respective entities. If a resolution of the dispute is reached via a meet and confer dispute resolution method, it shall be presented to NVTA and to _____'s governing body for formal confirmation and approval. If no satisfactory resolution can be reached via the meet and confer method, either party is free to pursue whatever remedies it may have at law, including all judicial remedies.

E. NVTA's Financial Interest in Project Assets

_____ agrees to use the real property and appurtenances and fixtures thereto, capital assets, equipment and all other transportation facilities that are part of the Project and funded by NVTA under this Agreement ("Project Assets") for the designated transportation purposes of the Project under this Agreement and in accordance with applicable law throughout the useful life of each Project Asset. NVTA shall retain a financial interest in the value of each of the of the Project Assets, whether any such Project Asset may have depreciated or appreciated, throughout its respective useful life proportionate to the amount of the cost of the Project Asset funded by NVTA under this

Agreement. In the event that _____ fails to use any of the Project Assets funded under this Agreement for the transportation purposes as authorized by this Agreement or applicable law throughout its respective useful life, _____ shall refund to NVTA with interest at the rate earned by NVTA the amount attributable to NVTA's proportionate financial interest in the value of said Project Asset. If _____ refuses or fails to refund said monies to NVTA, NVTA may recover its proportionate financial interest from _____ by pursuit of any remedies available to NVTA, including but not limited to NVTA's withholding of commensurate amounts from future distributions of NVTA funds to _____.

F. Appropriations Requirements

1. Nothing herein shall require or obligate any party to commit or obligate funds to the Project beyond those funds that have been duly authorized and appropriated by their respective governing bodies.

2. The parties acknowledge that all funding provided by NVTA pursuant to Chapter 766 is subject to appropriation by the Virginia General Assembly. The parties further acknowledge that: (i) the moneys allocated to the NVTA Fund pursuant to Va. Code Ann. Sections 58.1-638, 58.1-802.2, and 58.1-1742 and any other moneys that the General Assembly appropriates for deposit into the NVTA Fund are subject to appropriation by the General Assembly and (ii) NVTA's obligations under this Agreement are subject to such moneys being appropriated for deposit in the NVTA Fund by the General Assembly.

G. Notices

All notices under this Agreement to either party shall be in writing and forwarded to the other party by U.S. mail, care of the following authorized representatives:

1) to: NVTA, to the attention of its Executive Director;
3040 Williams Drive, Suite 200
Fairfax, VA 22031

2) to _____, to the attention of _____

_____ (address)

H. Assignment

This Agreement shall not be assigned by either party unless express written consent is given by the other party.

I. Modification or Amendment

This Agreement may be modified, in writing, upon mutual agreement of both parties.

J. No Personal Liability or Creation of Third Party Rights

This Agreement shall not be construed as creating any personal liability on the part of any officer, employee, or agent of the parties; nor shall it be construed as giving any rights or benefits to anyone other than the parties hereto.

K. No Agency

_____ represents that it is not acting as a partner or agent of NVTA; and nothing in this Agreement shall be construed as making any party a partner or agent with any other party.

L. Sovereign Immunity

This Agreement shall not be construed as a waiver of either party's sovereign immunity rights.

M. Incorporation of Recitals

The recitals to this Agreement are hereby incorporated into this Agreement and are expressly made a part hereof. The parties to this Agreement acknowledge and agree that such recitals are true and correct.

N. Mutual Preparation and Fair Meaning

The parties acknowledge that this Agreement has been prepared on behalf of all parties thereto and shall be construed in accordance with its fair meaning and not strictly construed for or against either party.

O. Governing Law

This Agreement is governed by the laws of the Commonwealth of Virginia.

IN WITNESS WHEREOF, each party hereto has caused this Agreement to be executed as of the day, month, and year first herein written by their duly authorized representatives.

Northern Virginia Transportation Authority

By: _____

Date: _____

_____ (Name of Recipient Entity)

By: _____

Date: _____

Appendix A – Narrative Description of Project (Attach Project Description Form)

NVTA Project Title: US Route 1 Widening (Fraley Boulevard)
 NVTA SPA Number: 359-80491 Internal NVTA Project Number (leave blank): _____
 Recipient Entity: Prince William County
 Project Manager Name: Ricardo Canizales
 Phone: 703-792-6825 email: _____

Table A-1 Project Scope/Schedule Changes

Fill any Differences from the Approved NVTA Project Description Form Attached or Previously Submitted Appendix A. Describe and provide rationale for changes in scope and/or schedule.

The project schedule has been slightly modified from the previous project description form attached. The dates below reflect the latest project milestones based on discussions with VDOT and the Town of Dumfries as the County assumes project administration.

Table A-2 Project Milestone by Phase Changes

Fill any Differences from the Approved NVTA Project Description Form Attached or Previously Submitted Appendix B. Provide Date of Revision. Any update to Appendix A, Table A-2 requires an update to Appendix B reflecting the changes.

| | Project description form | | Rev. 1: 3/2/2021 | | Rev. 2: MM/DD/YYYY | |
|---------------------------|--------------------------|------------|------------------|-------------|--------------------|----------|
| | Start Date | End Date | Start Date | End Date | Start Date | End Date |
| Study | July-2014 | July-2015 | July-2014 | March-2021 | | |
| Preliminary Engineering | July-2015 | June-2018 | March-2021 | August-2022 | | |
| Right of Way | June-2018 | March-2019 | Oct-2021 | August-2023 | | |
| Construction | March-2019 | | Sept-2024 | Nov-2026 | | |
| Capital Asset Acquisition | | | | | | |
| Other | | | | | | |

| | Rev. 3: MM/DD/YYYY | | Rev. 4: MM/DD/YYYY | | Rev. 5: MM/DD/YYYY | |
|---------------------------|--------------------|----------|--------------------|----------|--------------------|----------|
| | Start Date | End Date | Start Date | End Date | Start Date | End Date |
| Study | | | | | | |
| Preliminary Engineering | | | | | | |
| Right of Way | | | | | | |
| Construction | | | | | | |
| Capital Asset Acquisition | | | | | | |
| Other | | | | | | |

RECIPIENT ENTITY

Submitted by (Person authorized in the resolution or Transportation Director):

Signature: _____
 Name: _____
 Title: _____
 Date: _____

NVTA

Accepted by:



FY 2015-16 PROJECT DESCRIPTION FORM (8Q)

Basic Project Information

Submitting Agency: Town of Dumfries

Project Title: Widen Route 1 (Fraleley Boulevard) Brady's Hill Road to Route 234 (Dumfries Road) 8Q

Project Type (check one):

Roadway (X) Transit ()

VA State Route Number (if applicable) and NVTA Corridor Number (1-8): US 1 NVTA Corridor 8

- 1. Project Description:** This project will complete another segment of the Route 1 Corridor identified in VDOT's Route 1 Location Study. U S Route 1 is bisected through the Town of Dumfries with northbound Route 1 on an alignment on the east side of Town and southbound Route 1 on a separate alignment, also serving as Main Street for the town. No other community in Prince William County has Route 1 dividing its town and stifling traffic for its entire community six to seven days a week. Currently 54,000 vehicles per day travel through the approximately 2.01 miles (10,650 ft) of Route 1. Future road studies estimate that 80,000 vehicle per day will travel this segment. Route 1 is being widened by Prince William County to the north and south of this choke point.

This project will complete the Northern segment of a Prince William County funded project (VDOT's Route 1 / Route 619) and will allow both local traffic to travel to and from Quantico / Stafford to the Route 234 interchange (Bi-County Parkway) and communities along the Route 1 corridor. This project will bring northbound and southbound Route 1 onto the same alignment by widening Route 1 NB from two lanes to six lanes, with a wide curb lane for on-road bicycle use and a sidewalk and multi-use trail for pedestrians and other modes. It includes replacing the bridge over Quantico Creek. The southbound alignment can then be used as Main Street for the town of Dumfries, enhancing the economic development of the town and the safety of the town's citizens.

- 2. Requested NVTA Funds:** \$6,900,000
- 3. Phase(s) of Project Covered by Requested NVTA Funds:** PE
- 4. Total Cost to Complete Project:** \$82,500,000
- 5. Project Milestone -Study Phase:** Start of Study - March 2013
- 6. Project Milestone -Preliminary Engineering (30% Design):** Start of PE -July 2014
- 7. Project Milestones -Final Design:** Start of Final Design - July 2015

1 Widen Route 1 (Fraleley Boulevard) Brady's Hill Road to Route 234 (Dumfries Road) 8Q



Northern Virginia Transportation Authority
The Authority for Transportation in Northern Virginia

8. **Project Milestones -Right-of-Way:** ROW acquisitions completed – June 2018
9. **Project Milestone – Construction:** Start of Construction – March 2019
10. **Project Milestone – Mass Transit Vehicle Acquisition:** Start of Construction - N/A
11. **Is Project in Transaction 2040:**
Yes (X) No ()
12. **Project in 2010 CLRP:** N/A
13. **Project Leverages other Funding:** (please state amount)
 - Local ()
 - State (X)
 - Federal (X)
 - Other:



Stated Benefits

- **What Regional benefit(s) does this project offer?**

This project will complete a segment of the Route 1 Corridor identified in VDOT's Route 1 Location Study. This segment lies between two other segments (Stafford County Line to Joplin/Fuller Road (Route 619) and Dumfries Road to Dale Boulevard (Route 784)) and will allow for the proper movement of intrastate travel on Route 1. Route 1 through Dumfries is a major secondary roadway to Interstate 95 and serves as a major artery for the Eastern part of the Commonwealth.

This project alleviates traffic on a regionally congested corridor and provides an alternate route to I-95. This project will improve both vehicular and pedestrian/bicycle mobility in the area.

- **How does the project reduce congestion?**

According to the Route 1 Location Study Project A prepared by PB Americas (dated December 2008), the Level of Service (LOS) during the AM peak hours is E/F and during the PM peak hours it is D. The project will add one northbound travel lane, and replace the existing two southbound travel lanes which pass through the Main Street portion of the Town with three southbound travel lanes aligned with Fraley Boulevard, where there are fewer intersections.

- **How does project increase capacity? (Mass Transit Projects only)**

N/A

- **How does project improve auto and pedestrian safety?**

The project will improve auto and pedestrian safety by:

1. separating high-volume pass-through traffic onto the six lane Route 1, while lower volume, lower speed residential and business traffic is on Main Street;
2. providing a 12' to 16' median to separate opposing traffic;
3. providing two 12' and one 15' travel lane in each direction, with the fifteen' outer travel lane to additionally accommodate bicycle use;
4. providing a 6' sidewalk with 4' buffer for pedestrian use;
5. providing safe pedestrian passage over Quantico Creek (see Figure 1).

- **List internet links below to any additional information in support of this project:**

| APPENDIX B-PROJECT BUDGET & REIMBURSEMENT CASH FLOW SCHEDULE | | | | | | NOTE 1: Use this box when updating Appendix B for existing projects: <u>Revision Number</u> <u>Date of Revision</u> <u>Revision Number</u> <u>Date of Revision</u> | | | |
|--|---|-----------------------------|---|----------------------------------|---|--|-------------------------------|---------------------|---------------------------------------|
| NVTA SPA Number: | 359-80491 | | | | | Original | 10/19/2015 | 4 | |
| NVTA Project Title: | Widen Route 1 (Fralely Boulevard) Brady's Hill Road to Route 234 (Dumfries Rd) | | | | | 1 | 3/2/2021 | 5 | |
| Date Prepared: | 3/2/2021 | | | | | 2 | | | |
| Project Sponsor | Prince William Board of County Supervisors | | | | | 3 | | | |
| Contact Name & Email: | Ricardo Canizales rcanizales@pwccgov.org | | | | | | | | |
| Any update to Appendix B, Table B-2 and B-3 require an update to Appendix A Table A-2 reflecting the changes | | | | | | | | | |
| Column A | Column B | Column C | Column D | Column E | Column F | Column G | Column H | Column I | |
| TABLE B-1 PROJECT COSTS & FUNDING SOURCE | | | | | | | | | |
| Project Cost Category | Total Project Costs | Approved NVTA Project Funds | Amount of Project Sponsor Funds | Amount of Other Sources of Funds | List of Other Sources of Funds (For each cost category include all other funding sources; list each source of funds on a separate line for each cost category) | | | | |
| Study | \$ - | \$ - | \$ - | | | | | | |
| Preliminary Engineering | \$ 6,900,000 | \$ 6,900,000 | | | | | | | |
| Right-of-Way Acquisition | | | | | | | | | |
| Construction | | | | | | | | | |
| Capital Asset Acquisitions | | \$ - | | | | | | | |
| Other | | \$ - | | | | | | | |
| Total Estimated Cost | \$ 6,900,000 | \$ 6,900,000 | \$ - | \$ - | | | | | |
| TABLE B-2 PROJECT REIMBURSEMENT CASH FLOW PER FISCAL YEAR AND COST CATEGORY FOR NVTA FUNDS ONLY | | | | | | | | | |
| Project Cost Category | NVTA Previously Reimbursed | Total FY2021 Project Funds | Total FY2022 Project Funds | Total FY2023 Project Funds | Total FY2024 Project Funds | Total FY2025 Project Funds | FY20XX & Future Project Funds | | |
| Study | | | | | | | | | |
| Preliminary Engineering | \$ 2,879,508 | \$ 1,000,000 | \$ 2,000,000 | 1,020,492 | | | | | |
| Right-of-Way Acquisition | | | | | | | | | |
| Construction | | | | | | | | | |
| Capital Asset Acquisitions | | | | | | | | | |
| Other | | | | | | | | | |
| Total Estimated Cost | \$ 2,879,508 | \$ 1,000,000 | \$ 2,000,000 | \$ 1,020,492 | \$ - | \$ - | \$ - | \$ 6,900,000 | <i>Cumulative Est Cost Crosscheck</i> |
| Table B-2 Cumulative Estimated Cost- Column I MUST Match Table B-1 Column C - Total Estimated Cost Approved NVTA Project Funds | | | | | | | | | |
| TABLE B-3 QUARTERLY PROJECT REIMBURSEMENT CASH FLOW FOR NVTA FUNDS ONLY | | | | | | | | | |
| Quarter | NVTA Previously Reimbursed | Total FY2021 Cash Flow | Total FY2022 Cash Flow | Total FY2023 Cash Flow | Total FY2024 Cash Flow | Total FY2025 Cash Flow | FY20XX & Future Cash Flow | | |
| September | | | \$ 500,000 | \$ 255,123 | | | | | |
| December | | | \$ 500,000 | \$ 255,123 | | | | | |
| March | | \$ 500,000 | \$ 500,000 | \$ 255,123 | | | | | |
| June | | \$ 500,000 | \$ 500,000 | \$ 255,123 | | | | | |
| Total Estimated Cost | \$ 2,879,508 | \$ 1,000,000 | \$ 2,000,000 | \$ 1,020,492 | \$ - | \$ - | \$ - | \$ 6,900,000 | <i>Cumulative Est Cost Crosscheck</i> |
| TABLE B-3 Total Estimated Cost per Fiscal Year MUST Match Table B-2 Total Estimated Cost per Fiscal Year | | | | | | | | Variance | \$ (0) |
| This Appendix B form is certified and made an official attachment to the Standard Project Agreement document by the parties of this agreement. | | | | | | | | | |
| Project Sponsor (Person Authorized to sign SPA / Director of Transportation) | | | Northern Virginia Transportation Authority | | | Northern Virginia Transportation Authority | | | |
| _____ Signature | | | _____ Signature NVTA Executive Director | | | _____ Signature NVTA Chief Financial Officer | | | |
| _____ Title | | | _____ Date | | | _____ Date | | | |
| Please Print name of person signing | | | | | | | | | |

APPENDIX D-Tax Covenants

TAX COVENANTS (For Bond Funded Projects Only)

The Recipient Entity will not permit more than five percent of the total amount of NVTA Bond Proceeds or the Financed Property to be used directly or indirectly (i) for a Private Business Use or (ii) to make or finance loans to Nongovernmental Persons. Any transaction that is generally characterized as a loan for federal income tax purposes is a "loan" for purposes of this paragraph. In addition, a loan may arise from the direct lending of NVTA Bond Proceeds or may arise from transactions in which indirect benefits that are the economic equivalent of a loan are conveyed, including any contractual arrangement which in substance transfers tax ownership and/or significant burdens and benefits of ownership.

The Recipient Entity agrees not to requisition or spend NVTA Bond Proceeds for any Project Cost not constituting a Capital Expenditure.

Except as may be described in Appendix B, the Recipient Entity neither has on the date of this Agreement nor expects to have after this date any funds that are restricted, segregated, legally required or otherwise intended to be used, directly or indirectly, for the purposes for which the Recipient Entity is receiving NVTA Bond Proceeds.

The Recipient Entity acknowledges that it may have to provide detailed information about the investment of the amount of any requisition unless (i) payments are remitted directly by NVTA to the contractors/vendors or (ii) the Recipient Entity remits payment to the contractors/vendors within five banking days after the date on which NVTA advances the amount of the requisition. NVTA may request the detailed information in order to compute the rebate liability to the U.S. Treasury on NVTA's bonds or other debt financing pursuant to Section 148 of the Internal Revenue Code of 1986, as amended (the "Code").

"Capital Expenditure" means any cost of a type that is properly chargeable to capital account (or would be so chargeable with (or but for) a proper election or the application of the definition of "placed in service" under Treas. Reg. § 1.150-2(c)) under general federal income tax principles, determined at the time the expenditure is paid.

"Federal Government" means the government of the United States and its agencies or instrumentalities.

"Financed Property" means the property financed by the NVTA Bond Proceeds.

"General Public Use" means use of Financed Property by a Nongovernmental Person as a member of the general public. Use of Financed Property by a Nongovernmental Person in a Trade or Business is treated as General Public Use only if the Financed Property is intended to be available and in fact is reasonably available for use on the same basis by natural persons not

engaged in a Trade or Business. Use under arrangements that convey priority rights or other preferential benefits is not use on the same basis as the general public.

"Governmental Person" means any Person that is a state or local governmental unit within the meaning of Section 141 of the Code (or any instrumentality thereof).

"NVTB Bond Proceeds" means, as used herein, the sale proceeds of any NVTB bonds or other debt instrument and the investment earnings on such proceeds, collectively.

"Nongovernmental Person" mean any Person other than a Governmental Person. For the purposes hereof, the Federal Government is a Nongovernmental Person.

"Person" means any natural person, firm, joint venture, association, partnership, business trust, corporation, limited liability company, corporation or partnership or any other entity (including the Federal Government and a Governmental Person).

"Private Business Use" means a use of the NVTB Bond Proceeds directly or indirectly in a Trade or Business carried on by a Nongovernmental Person other than General Public Use. For all purposes hereof, a Private Business Use of any Financed Property is treated as a Private Business Use of NVTB Bond Proceeds. Both actual and beneficial use by a Nongovernmental Person may be treated as Private Business Use under Section 141 of the Code. In most cases, however, Private Business Use results from a Nongovernmental Person having special legal entitlements to use the Financed Property under an arrangement with the Recipient Entity. Examples of the types of special legal entitlements resulting in Private Business Use of Proceeds include (i) ownership for federal tax purposes of Financed Property by a Nongovernmental Person and (ii) actual or beneficial use of Financed Property by a Nongovernmental Person pursuant to a lease, a Service Contract, an incentive payment contract or certain other arrangements such as a take-or-pay or other output-type contract. Private Business Use of the Financed Property may also be established on the basis of a special economic benefit to one or more Nongovernmental Persons even if such Nongovernmental Persons do not have a special legal entitlement to the use of the Financed Property. Any arrangement that is properly characterized as a lease for federal income tax purposes is treated as a lease for purposes of the Private Business Use analysis. An arrangement that is referred to as a management or Service Contract may nevertheless be treated as a lease, and in determining whether a management or service contract is properly characterized as a lease, it is necessary to consider all of the facts and circumstances, including (i) the degree of control over the property that is exercised by a Nongovernmental Person, and (ii) whether a Nongovernmental Person bears risk of loss of the Financed Property. Private Business Use of Financed Property that is not available for General Public Use may also be established on the basis of a special economic benefit to one or more Nongovernmental Persons even if such Nongovernmental Persons do not have a special legal entitlement to the use of the Financed Property. In determining whether special economic benefit gives rise to Private Business Use, it is necessary to consider all of the facts and circumstances, including one or more of the following factors: (i) whether the Financed Property is functionally related or physically proximate to property used in the Trade or Business of a Nongovernmental Person, (ii) whether only a small number of Nongovernmental Persons receive the economic benefit, and

(iii) whether the cost of the Financed Property is treated as depreciable by the Nongovernmental Person.

"Service Contract" means a contract under which a Nongovernmental Person will provide services involving all, a portion or any function of any Financed Property. For example, a Service Contract includes a contract for the provision of management services for all or any portion of Financed Property. Contracts for services that are solely incidental to the primary governmental function or functions of Financed Property (for example, contracts for janitorial, office equipment repair, billing, or similar services) are not included in this definition. Additional contracts not included in this definition are (i) a contract to provide for services by a Nongovernmental Person in compliance with Revenue Procedure 97-13, 1997-1 C.B. 632, as modified by Revenue Procedure 2001-39, I.R.B. 2001-28, (ii) a contract to provide for services by a Nongovernmental Person if the only compensation is the reimbursement of the Nongovernmental Person for actual and direct expenses paid by the Nongovernmental Person to unrelated parties and (iii) a contract to provide for the operations by a Nongovernmental Person of a facility or system of facilities that consists predominately of public utility property (within the meaning of Section 168(i)(10) of the Code), if the only compensation is the reimbursement of actual and direct expenses of the Nongovernmental Person and reasonable administrative overhead expenses of the Nongovernmental Person.

"Trade or Business" has the meaning set forth in Section 141(b)(6)(B) of the Code, and includes, with respect to any Nongovernmental Person other than a natural person, any activity carried on by such Nongovernmental Person. "Trade or Business" for a natural person means any activity carried on by such natural person that constitutes a "trade of business" within the meaning of Section 162 of the Code.

RECIPIENT ENTITY

By: _____
Name: _____
Title: _____
Date: _____



STAFF REPORT

| | |
|----------------------------------|--|
| Board Meeting Date: | March 2, 2021 |
| Agenda Title: | Authorize Execution of an Agreement with the Town of Dumfries for Administration of the Route 1 (Fraley Blvd) Widening Project; Authorize Execution of a Standard Project Agreement between Prince William County and the Northern Virginia Transportation Authority for Funding of the Route 1 (Fraley Blvd) Widening Project (Northern Virginia Transportation Authority Project #2015-359-80491); and Budget and Appropriate up to \$4,020,492.33 to the Route 1 (Fraley Blvd) Widening Project |
| District Impact: | Potomac Magisterial District |
| Requested Action: | Authorize execution of agreements and budget and appropriate funding. |
| Department / Agency Lead: | Department of Transportation |
| Staff Lead: | Ricardo Canizales, Director |

EXECUTIVE SUMMARY

The Route 1 (Fraley Blvd) Widening Project (Project), located in the Town of Dumfries boundaries, will convert Route 1 from Brady’s Hill Road to Route 234 into a six-lane facility with sidewalk and shared-use path. This Project is currently in the design and preliminary engineering phase and has been allocated approximately \$129,000,000 million in Northern Virginia Regional Revenue funds due to the congestion reduction benefits.

Given the Project scope and complexity, the Town of Dumfries has requested Prince William County assume administration of the Project and unanimously approved a Town resolution supporting an agreement between the Town and County for project management. The Virginia Department of Transportation (VDOT) and County staff concur with this request. County management of the Project will enable the Project to be more effectively implemented and will ensure consistency with completed and planned widening projects of Route 1 in the County’s jurisdiction.

Three actions are requested for the County to assume administration of the Project. First, the County must enter into an agreement with the Town of Dumfries to become the Project administrator. Second, the County must enter into a Standard Project Agreement (SPA) with the Northern Virginia Transportation Authority (NVTA) to receive financial reimbursement from the NVTA funding allocated to the Project for project expenses. Finally, budget and appropriation of the Project’s current balance of Fiscal Year (FY) 2015 NVTA 70% funds (HB 2313) is requested to continue with design and the preparation of the bridging document for advertisement of bid procurement for the Project.

It is the recommendation of County staff that the Prince William Board of County Supervisors (Board) authorize execution of an agreement with the Town of Dumfries for administration of the Route 1 (Fralely Blvd) Widening Project; authorize execution of a standard project agreement between Prince William County and the Northern Virginia Transportation Authority for the Route 1 (Fralely Blvd) Widening Project (Northern Virginia Transportation Authority Project #2015-359-80491); and budget and appropriate up to \$4,020,492.33 to the Route 1 (Fralely Blvd) Widening Project in the Potomac Magisterial District.

BACKGROUND

The Project will convert Route 1 into a six-lane facility with pedestrian and bike facilities from Brady's Hill Road (Route 1109) to Dumfries Road (Route 234). This Project is located mainly in the Town of Dumfries where Route 1 is named Fralely Blvd. Currently, Route 1 Northbound and Southbound in the Town are on separate alignments. The Project converts Route 1 Northbound from a two-lane undivided roadway to a six-lane divided roadway. Route 1 Southbound will convert to a two-way roadway to serve local traffic as a Main Street for the Town of Dumfries. This Project has received federal, state and NVTA funds and is currently in the design and preliminary engineering phase. VDOT has completed a portion of the design and preliminary engineering phase. The Project budget has a current balance of approximately \$4,000,000 and over \$122,000,000 in NVTA 70% Revenue allocated to the Project in future fiscal years.

Due to the large scope and complexity of the Project, the Town of Dumfries has requested the County assume administration of the Project. VDOT and County staff concur with the requested action, as the County has the experience and resources to successfully administer the Project. Additionally, the Project is of significant benefit to County residents and County administration of the Project will ensure the widening will be consistent with completed and planned projects widening Route 1 within County boundaries. The Town of Dumfries Town Council voted unanimously by resolution on January 19, 2021, to support an agreement between the Town and the County for administration of the Project. The Agreement with Dumfries acknowledges that the County may have to use its eminent domain powers to acquire required property interests from some of the over sixty (60) parcels that will be impacted by the Project.

The resolution authorizes three (3) actions for the County to assume administration of this Project. First, the resolution authorizes the County to enter into an agreement with the Town of Dumfries transferring project management responsibilities to the County. Second, the resolution authorizes the County to execute a SPA with NVTA. NVTA currently has an executed SPA with the Town of Dumfries regarding the allocation of \$6,900,000 in FY2015-2016 NVTA HB 2313 70% Regional Revenue funds. If the Board approves the accompanying resolution, NVTA will cancel the current SPA with Dumfries and enter another SPA with the County. This will enable the County to submit project reimbursements to NVTA for project design and documents preparation for a bid procurement. Finally, the resolution authorizes the County to budget and appropriate the \$4,020,492.33 in the remaining FY2015-2016 NVTA 70% Regional Revenue funds. The cash flow schedules attached to this staff report show the full \$6,900,000 allocated to the project for design, however, the project has already accrued expenditures and the remaining balance to be budgeted and appropriated is \$4,020,492.33.

NVTA has also allocated \$44,860,000 for right-of-way in the FY2018-2023 Program and \$78,000,000 for construction in the FY2024-2025 Program. Additionally, the Project has received preliminary recommendation to receive approximately \$55,000,000 in SMART SCALE funding. If approved by the

Commonwealth Transportation Board this Spring, the \$55,000,000 will be available in FY2026-2027. This will fully fund the Project based on current engineering estimates. If the Board moves forward with the recommended actions, County staff will return to the Board for authorization to enter into agreements and budget and appropriate this funding in future fiscal years.

The Planning Commission reviewed projects to widen Route 1 in Prince William County from the Stafford County line to the Fairfax County line as part of the County's Comprehensive Plan adopted March 15, 2016. The Dumfries Planning Commission reviewed and approved the widening of Route 1 (Fraleley Blvd) through the Town of Dumfries as part of the Town's Comprehensive Plan approved July 8, 2014, and amended November 2020. The route connects to other County projects to the north and south and the proposed Project is in line with the County's designation.

STAFF RECOMMENDATION

It is the recommendation of County staff that the Board authorize execution of an agreement with the Town of Dumfries, authorize execution of a SPA between the County and NVTA and budget and appropriate \$4,020,492.33 to the Project.

Service Level / Policy Impact

This program furthers the County's Mobility Strategic Plan that "the community will have an accessible, comprehensive, multi-modal network of transportation infrastructure that supports local and regional mobility." Specifically, this action will help meet the strategic outcomes of decreasing congestion and travel time. Additionally, the program meets the County Comprehensive Plan's Roadway Policy RD-2 to "improve roadway capacity by providing new roadway segments and widening existing segments." The Project is consistent with the County Comprehensive Plan Thoroughfare Plan PA.6 Jefferson Davis Highway/Route 1 for the typical section of Route 1 in the County.

Fiscal Impact

The recommended action will obligate up to \$4,020,492.33 in NVTA 70% funding to implement a transportation improvement in Prince William County. \$4,020,492.33 is the current balance of available funds, however, VDOT has outstanding invoices that will be charged to this project. Consistent with NVTA process, the invoices will be submitted by the County for reimbursement to VDOT. The invoices are estimated to be up to \$350,000. If the recommended action is approved, \$4,020,492.33 is the maximum amount that will be budgeted and appropriated. Staff resources required for the administration of the Project will be fully funded through existing Department budgeted positions and cost recovery from the budgeted and appropriated funding.

Legal Impact

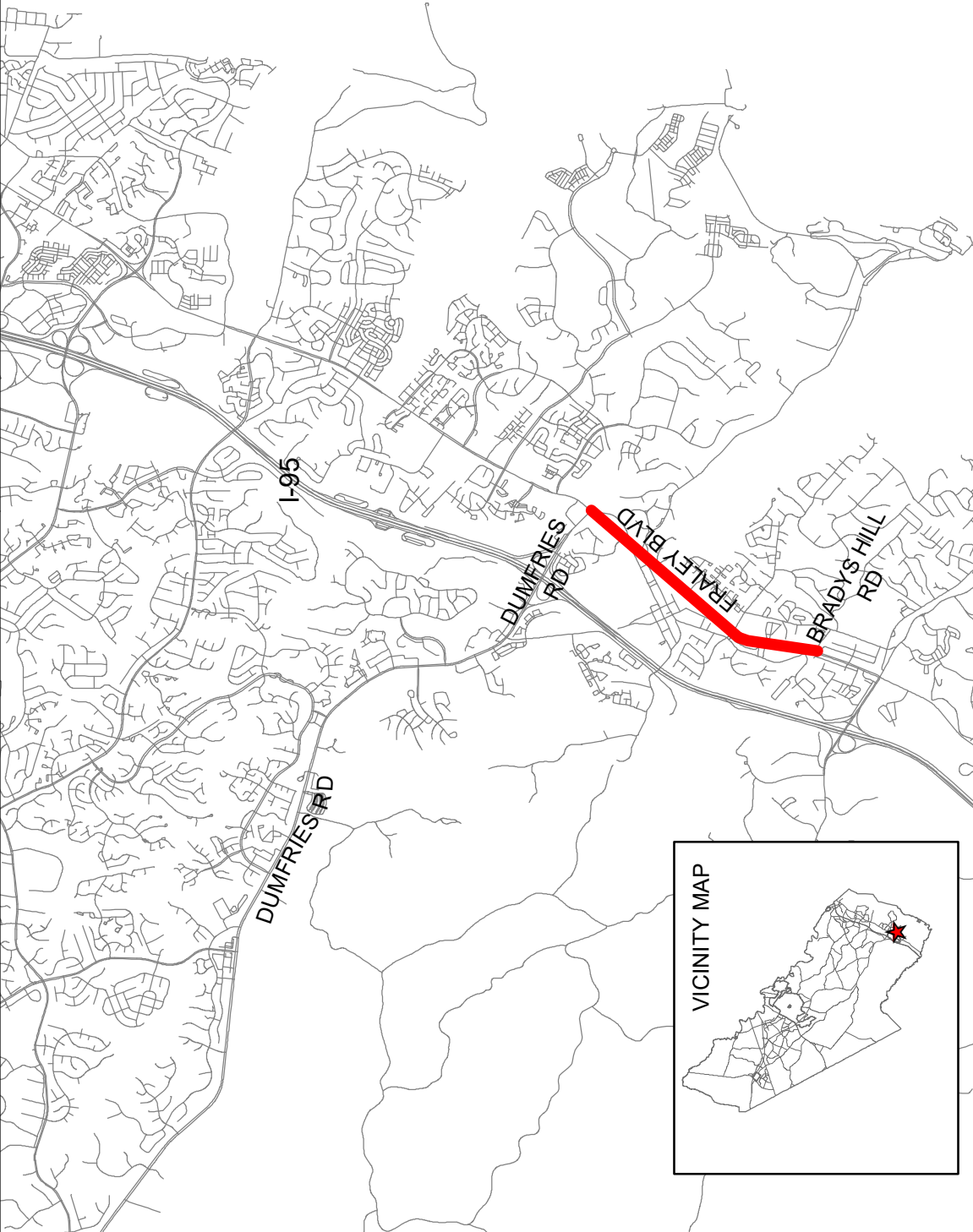
The Board has the legal authority to authorize the execution of agreements with the Town of Dumfries and NVTA and budget and appropriate NVTA 70% revenues for the Project. However, it is not legally required to do so.

STAFF CONTACT INFORMATION

Paolo Belita | (703) 792-6273
pbelita@pwcgov.org

ATTACHMENTS

Vicinity Map – Route 1 (Fraley Blvd)
Route 1 (Fraley Blvd) Widening Project Funding Allocation Table
Town of Dumfries Resolution
Route 1 Widening Agreement



FRALEY BLVD IMPROVEMENTS

MARCH 2, 2021

**Route 1 (Fraley Blvd) Widening Project
Project Funding Allocation**

| Funding Fiscal Years | Funding | Funding Source | Project Phase |
|-----------------------------|----------------------|-----------------------|----------------------|
| FY 2015 | \$6,900,000 | NVTA 70% | Design & Engineering |
| FY 2018-2023 | \$44,860,000 | NVTA 70% | Right-of-Way |
| FY 2024-2025 | \$78,000,000 | NVTA 70% | Construction |
| FY 2026-2027 | \$55,000,000* | SMART SCALE | Construction |
| Total | \$184,760,000 | | |

*Preliminary funding recommendation

AT A MEETING OF THE DUMFRIES TOWN COUNCIL HELD VIRTUALLY ON TUESDAY, JANUARY 19, 2021: ON A MOTION MADE BY COUNCILWOMAN MILES AND SECONDED BY COUNCILWOMAN NEVILLE, THIS RESOLUTION WAS ADOPTED; VOTE:

Tyrone A. Brown, YES;
Brian K. Fields, YES;
Selonia B. Miles, YES;
Cydney A. Neville, YES;
Monaé S. Nickerson, ABSENT;
Shaun R. Peet, YES;
Derrick R. Wood, YES;

RESOLUTION TO AUTHORIZE THE TOWN MANAGER TO EXECUTE A MEMORANDUM OF UNDERSTANDING WITH PRINCE WILLIAM COUNTY FOR THE ADMINISTRATION OF THE U.S. ROUTE 1 WIDENING PROJECT

WHEREAS, the Route 1 Widening project remains the number one priority of the Town; and,

WHEREAS, the Town has been awarded funding through competitive grant awards from the Northern Virginia Transportation Authority, to support the implementation of the project; and,

WHEREAS, it is in the best interest of the Town to engage with Prince William County for the timely and efficient administration of this project;

NOW, THEREFORE BE IT RESOLVED, the Town Council for the Town of Dumfries authorizes the Town Manager to execute the attached Memorandum of Understanding with Prince William County for the administration of the U.S. Route 1 Widening Project.

By Order of Council:



Derrick R. Wood, Mayor

AGREEMENT
between the
BOARD OF COUNTY SUPERVISORS OF PRINCE WILLIAM COUNTY
and the
TOWN COUNCIL OF THE TOWN OF DUMFRIES
for the
ADMINISTRATION OF THE ROUTE 1 WIDENING PROJECT IN
THE TOWN OF DUMFRIES

THIS AGREEMENT (“Agreement”) made and entered into as of the ____ day of _____, 2021, by and between the BOARD OF COUNTY SUPERVISORS OF PRINCE WILLIAM COUNTY, VIRGINIA (“COUNTY”), and the TOWN OF DUMFRIES, VIRGINIA (“TOWN”).

W I T N E S S E T H :

WHEREAS, the TOWN has been approved for reimbursement by Northern Virginia Transportation Authority (“NVTA”) funds in the total amount of \$_____ for the design, right-of-way acquisition, and construction to widen Fraley Boulevard (“Route 1”) to a six-lane road from Brady’s Hill Road to Dumfries Road (“Project”); and

WHEREAS, the TOWN has already entered into a Standard Project Agreement with NVTA dated _____ for the design of the Project (“Design SPA”) and was preparing to enter into a second Standard Project Agreement with NVTA for right of way acquisition and construction of the Project (“ROW and Construction SPA”); and

WHEREAS, through a prior agreement between the TOWN and the Virginia Department of Transportation (“VDOT”) the design of the Project was initiated by VDOT and plans were advanced to a design public hearing level and a design public hearing was held on _____; and

WHEREAS, a National Environmental Policy Act (“NEPA”) document for the Project was completed and approved by the Federal Highway Administration and made available during the public hearing for review; and

WHEREAS, the TOWN has now decided to continue the development of the plans and the administration of the Project with the COUNTY for the completion of the public hearing design plans, construction of the Project, and final acceptance by VDOT for the maintenance of the road; and

WHEREAS, based on current plans, right-of-way and easements will need to be acquired from over 60 parcels within the Town of Dumfries to complete the Project; and

WHEREAS, it is the intent of the parties that upon the execution of this Agreement, the parties will cooperate to have the Design SPA and the ROW and Construction SPA assigned, replaced or otherwise transferred from the TOWN to the COUNTY so that the COUNTY will have the ability to draw on the regional 70% NVTA funding for the Project in the total amount of \$ _____ for Project administration; and

WHEREAS, the COUNTY and TOWN desire to enter into this Agreement for the COUNTY to administer the Project from completion of design plans and acquisition of rights-of-way and easements, through construction to completion in accordance with all applicable federal, state or other standards;

NOW, THEREFORE, in consideration of the foregoing and the mutual covenants contained herein, and other good and valuable consideration, the sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

I. RESPONSIBILITIES OF THE COUNTY

To the extent funds are made available by the TOWN through NVTA or other sources:

- 1.1 The COUNTY shall enter into, accept an assignment of, or otherwise take over responsibility for the Design SPA and the ROW and Construction SPA to assume design and construction responsibility for the Project.
- 1.2 The COUNTY shall administer the completion of the public hearing design plans for the Project to final approval by VDOT, the COUNTY and the TOWN.
- 1.3 The COUNTY shall coordinate with the TOWN through all phases of development, construction and inspection of the Project.
- 1.4 The COUNTY will engage engineering consultants for the completion of the design, the acquisition of right-of-way, and the inspection and monitoring during construction.
- 1.5 The COUNTY will perform all activities necessary for right-of-way acquisition, including appraisal preparation, review, extension of a bona fide offers in accordance with Va. Code § 25.1-204, negotiations for settlements, court recordation of documents, and any condemnation litigation as set forth in 1.5 below.
- 1.6 The COUNTY also will, when necessary, exercise its eminent domain authority to acquire right-of-way and easements in accordance with COUNTY policy and practice as authorized by relevant provisions of Virginia law, including Va. Code §§ 15.2-1800, and 15.2-1901 *et seq.* This process shall include public hearings under Va. Code § 15.2-1905(C) to authorize “quick take” of property in accordance with the procedures set forth in Va. Code §§ 25.1-300 *et seq.*.

- 1.7 The COUNTY will invoice NVTA directly for Project's costs incurred by the COUNTY and any contractors, and will provide copies of all invoices to the TOWN for documentation and information, as well as a statement with each invoice of Project funds remaining after payment of the invoice.
- 1.8 The COUNTY will invoice the TOWN for all administration expenses not reimbursable by NVTA or other outside funding sources, for reimbursement by the TOWN within thirty (30) days of invoicing.
- 1.9 The COUNTY will coordinate with the TOWN the approval of the plans and the permitting for construction.
- 1.10 The COUNTY will coordinate with VDOT on the design, construction and inspection of all stormwater facilities per VDOT specifications.
- 1.11 The COUNTY will release all stormwater facilities over to VDOT at the completion of the Project for all future maintenance and repair.

II. RESPONSIBILITIES OF TOWN

- 2.1 The TOWN shall take whatever steps are necessary to have the Design SPA and the ROW and Construction SPA put in the COUNTY's name so that the COUNTY, and not the TOWN, can draw on regional 70% NVTA funds to complete the Project.
- 2.2 The TOWN will cooperate with the COUNTY to obtain any funds available for the Project from any outside funding sources. Such cooperation shall include, without limitation, designating the Project as a revenue sharing project.
- 2.2 The TOWN will be responsible for all Project costs above those that are funded by NVTA or other outside funding sources provided for the Project. Within thirty (30) days of receiving a COUNTY invoice, the TOWN will reimburse the COUNTY for its administration expenses not reimbursable by NVTA or other funding sources, which TOWN reimbursement may come from the Town's local 30% NVTA funds held by the County.
- 2.2 The TOWN acknowledges that the COUNTY may need to exercise the County's power of eminent domain under Va. Code Titles 15.2 and 25.1., to acquire right-of-way and easements for the Project and the TOWN will cooperate in the conduct of any eminent domain proceedings undertaken by the County for the Project.
- 2.3 The TOWN will review and approve the Project's final plans and the issuance of a construction permit for its construction as recommended by -----.

III. MISCELLANEOUS

- 3.1 This Agreement, when executed, shall be binding upon all parties.
- 3.2 This Agreement may be modified in writing by mutual agreement of all parties.
- 3.3 Notice required to be provided under this Agreement shall be effective if given by certified mail, return receipt requested, to the following designated contacts at their current address:

TOWN OF DUMFRIES

PRINCE WILLIAM COUNTY

IN WITNESS WHEREOF, each party has caused this agreement to be executed in duplicate in its name and on its behalf by its duly authorized officer as of the day and year first written.

TOWN OF DUMFRIES, VIRGINIA

BY: _____
Keith Rogers Jr.
Town Manager

Approved as to form:

BY: _____
Sharon E. Pandak
Interim Town Attorney

**BOARD OF COUNTY SUPERVISORS OF
PRINCE WILLIAM COUNTY, VIRGINIA**

BY: _____

Name:

Title

Approved as to form:

BY: _____

Michelle R. Robl

County Attorney